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## NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

-01/25/2002

Kelly K Burris Greensfelder Hemker & Gale P C 10 South Broadway Suite 2000 St Louis, MO 63102-1774 EXAMINER

HOTALING, JOHN M

ART UNIT CLASS-SUBCLASS

3713 463-031000

DATE MAILED: 01/25/2002

| ĺ | APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------|-------------|----------------------|---------------------|------------------|
|   | 09/617,388      | 07/17/2000  | Randy T. Sprout      | 43339-88004         | 8481             |

TITLE OF INVENTION: INTERACTIVE VIRTUAL REALITY PERFORMANCE THEATER ENTERTAINMENT SYSTEM

| TOTAL CLAIMS | APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|--------------|----------------|--------------|-----------|-----------------|------------------|------------|
| 9            | nonprovisional | YES          | \$640     | \$0             | \$640            | 04/25/2002 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- ☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



#### PART B - FEE(S) TRANSMITTAL



Complete and mail this form, together with applicable fee(s), to:

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**Assistant Commissioner for Patents** 

Washington, D.C. 20231

MAILING INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

| indicated unless correcte<br>maintenance fee notificat  |  | therwise in Block 1, by (  | a) specifying a new co   | orrespondence addres  | s; and/or                       | (b) indicating a sepa   | rate "FEE ADDRESS" for   |
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| CURRENT CORRESPONDE   |  | oly mark-up with any corrections of  | or use Block 1)  | Note: The certification   | ate of m                        | ailing below can on<br>smittal. This certifica  | ly be used for domestic<br>te cannot be used for any                                 |
| Valle V Durria  | 7590 01/2  | 5/2002   |  | other accompanyin   | g papers.                       | Each additional paper its own certificate or  | er, such as an assignment  |
| Kelly K Burris<br>Greensfelder Her<br>10 South Broadw<br>Suite 2000   | /ay  |  |  | I hereby certify th<br>United States Posta<br>envelope addresse<br>indicated below.   | at this F                       | ertificate of Mailing<br>fee(s) Transmittal is<br>with sufficient postag<br>Box Issue Fee add | being deposited with the<br>ge for first class mail in an<br>dress above on the date |
| St Louis, MO 63   | 102-1774   |  |  | maleated below.   |                                 |   | (Depositor's name)   |
|   |  |  |  |   |                                 |   | (Signature)  |
|   |  |  |  |   |                                 |   | (Date)   |
| APPLICATION NO.   | APPLICATION NO. FILING DATE FIRST NAMED INVE   |  |  | TOR   | ATTO                            | RNEY DOCKET NO.   | CONFIRMATION NO.   |
| 09/617,388  | 07/17/2000   |  | Randy T. Sprout  |   |                                 | 43339-88004   | 8481   |
| TOTAL CLAIMS  | APPLN, TYPE  | SMALL ENTITY   | ISSUE FEE  | PUBLICATION   | eee T                           | TOTAL EEE(S) DUE  | DATE DUE   |
| 9   | nonprovisional   | YES  | \$640  | \$0   | FEE                             | TOTAL FEE(S) DUE<br>\$640   | 04/25/2002   |
|   | nonprovisionar   | 120  | \$0.40   |   |                                 | \$0.40  | 04/23/2002   |
| · · · · · · · · · · · · · · · · · · ·   | MINER  | ART UNIT   | CLASS-SUBCI  |   |                                 |   |  |
| HOTALIN   | G, JOHN M  | 3713   | 463-03100  | 00  |                                 |   |  |
| 1. Change of correspondence address or indication of "Fee Address" CFR 1.363). Use of PTO form(s) and Customer Number are recommended to the correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  1. The correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  1. The correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. |  |  | the names of u<br>or agents OR,<br>single firm (ha<br>attorney or age<br>registered pater  | 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. |                                 |   |  |
|   | s an assignee is identifed to the USPTO or is  |  | •  | patent. Inclusion of as<br>on of this form is NOT   |                                 |   | e when an assignment has<br>nment.   |
| Please check the appropris  | ate assignee category o  | or categories (will not be p   | rinted on the patent)  | individual 🗅  | corporat                        | ion or other private gr   | oup entity 🚨 government  |
| a. The following fee(s) a   | re enclosed:   | 41   | o. Payment of Fee(s):  |   |                                 |   |  |
| ☐ Issue Fee   |  |  | ☐ A check in the amount of the fee(s) is enclosed.   |   |                                 |   |  |
| □ Publication Fee   |  |  | D Payment by credit card. Form PTO-2038 is attached.  The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to |   |                                 |   |  |
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| Authorized Signature)   |  | (Date)   |  |   |                                 |   |  |
| other than the applican   | t; a registered attorne  | f required) will not be a<br>y or agent; or the assign<br>States Patent and Tradema  | nee or other party in  |   |                                 |   |  |
| depending on the needs<br>to complete this form s   | of the individual case.<br>hould be sent to the C<br>Washington, D.C. 202.<br>DDRESS. SEND FEE | d to take 0.2 hours to com<br>Any comments on the am<br>thief Information Officer,<br>31. DO NOT SEND FEE<br>S AND THIS FORM<br>on, D.C. 20231 | ount of time required<br>United States Patent  |   |                                 |   |  |

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| APPLICATION NO.                          | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO |  |
| 09/617,388 07/17/2000<br>7590 01/25/2002 |             | Randy T. Sprout      | 43339-88004 8481        |                 |  |
|  |             |                      | EXAMINI                 | ER              |  |
| Kelly K Burris                           |             |                      | HOTALING, JOHN M        |                 |  |
| Greensfelder Hemk<br>O South Broadway    |             |                      | ART UNIT                | PAPER NUMBER    |  |
| Suite 2000                               | 0.1554      |                      | 3713                    |                 |  |
| St Louis, MO 6310                        | 2-1//4      |                      | DATE MAILED: 01/25/2002 |                 |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 0 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 0 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

|  | Application No.  | Applicant(s)  |  |
|--|--|---|--|
|  | 09/617,388   | SPROUT ET AL. Art Unit  |  |
| Notice of Allowability   | Examiner   |   |  |
|  | John M Hotaling II   | 3713  |  |
| The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313  | (OR REMAINS) CLOSED in this ap<br>) or other appropriate communication<br>IGHTS. This application is subject t | plication. If not included n will be mailed in due course. THIS   |  |
| <ol> <li>This communication is responsive to</li> <li>The allowed claim(s) is/are 1-9.</li> <li>The drawings filed on 03 December 2001 are accepted by</li> <li>Acknowledgment is made of a claim for foreign priority und</li> <li>All b) Some* c) None of the:</li> <li>Certified copies of the priority documents have</li> </ol> | der 35 U.S.C. § 119(a)-(d) or (f).   |   |  |
| 2.  Certified copies of the priority documents have  |  | ·   |  |
| 3. Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  5. Acknowledgment is made of a claim for domestic priority u  (a) The translation of the foreign language provisional a  6. Acknowledgment is made of a claim for domestic priority u               | nder 35 U.S.C. § 119(e) (to a provisapplication has been received.   | national stage application from the   |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" o below. Failure to timely comply will result in ABANDONMENT of   | f this communication to file a reply c<br>this application. THIS THREE-MO                                      | omplying with the requirements noted NTH PERIOD IS NOT EXTENDABLE   |  |
| 7. A SUBSTITUTE OATH OR DECLARATION must be subninFORMAL PATENT APPLICATION (PTO-152) which gives reas   |  |   |  |
| <ul> <li>8.  CORRECTED DRAWINGS must be submitted.</li> <li>(a)  including changes required by the Notice of Draftsper</li> <li>1)  hereto or 2)  to Paper No</li> <li>(b)  including changes required by the proposed drawing</li> <li>(c)  including changes required by the attached Examiner</li> </ul>                          | correction filed, which has t  | peen approved by the Examiner.  |  |
| Identifying indicia such as the application number (see 37 CFR 1 of each sheet. The drawings should be filed as a separate paper   | 1.84(c)) should be written on the drawi<br>r with a transmittal letter addressed to                            | ings in the top margin (not the back)<br>o the Official Draftsperson.   |  |
| 9. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT FOR T   |  |   |  |
| Attachment(s)  |  |   |  |
| <ul> <li>1 ☐ Notice of References Cited (PTO-892)</li> <li>3 ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>5 ☐ Information Disclosure Statements (PTO-1449), Paper No. 5</li> <li>7 ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>                                    | 4⊠ Interview Sumn<br>6⊠ Examiner's Am  | nal Patent Application (PTO-152) hary (PTO-413), Paper No. <u>6</u> . endment/Comment tement of Reasons for Allowance |  |

U.S. Patent and Trademark Office PTO-37 (Rev. 04-01)

Part of Paper No. 6

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#### **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Petere Gilster Reg 25337 on 1/18/02.

The application has been amended as follows:

Replace claims 1-9 with the following; --

1. A system which interacts with participants and performers, said system comprising:

an immersive virtual reality environment;

at least one performer input device in electronic communication with said immersive virtual reality environment;

at least one participant input device in electronic communication with said immersive virtual reality environment;

at least one performer output device in electronic communication with said immersive virtual reality environment;

at least one participant output device in electronic communication with said immersive virtual reality environment;



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wherein at least one live performer interacts with at least one participant and said immersive virtual reality environment;

wherein said immersive virtual reality environment includes a live or prerecorded video image of said at least one live performer and audio communication between said at least one live performer and said at least one participant, or between said at least one participant and said at least one live performer, or both;

wherein said at least one participant interacts with said at least one live performer and said immersive virtual reality environment, thereby resulting in an experience which is in part controlled by said at least one participant and said at least one participant input device.

2. The system of claim 1 wherein said immersive virtual reality environment further comprises:

at least one processing device;

system data;

output data; and

a network, said network connecting said processing

device, said at least one performer input device and said at least one performer output device, and said at least one participant input device and said at least one participant output devices in electronic communication, thereby transmitting said system data and said output data to said at least one live performer and said at least one participant.

3. The system of claim 2 wherein said at least on participant output device further comprising:

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at least one seat;

at least one virtual reality display; and

at least one participant audio device;

said at least one participant input device further comprising:

at least one hand-held keypad; and

at least one participant microphone;

said at least one performer output device further comprising:

at least one live video image of the participant; and

at least one speaker, said speaker transmitting said at least one participant microphone input to said at least one live performer;

said performer input means further comprising:

at least one live video image of said at least one live performer; and at least one performer microphone;

wherein said at least one participant views said output data from said immersive virtual reality environment and said live video image of said at least one live performer through said at least one virtual reality display and provides feedback to said immersive virtual reality environment through said at least one hand-held keypad and said at least one participant microphone.

4. The system of claim 3 wherein said output data further comprises:

graphical images; and

audio data.

5. The system of claim 4 wherein said seat further comprises:

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a rumble seat, said rumble seat providing sound and movement to said at least one participant.

6. The system of claim 5 wherein said processing device further comprises:

at least one control computer;

at least one audio processor;

at least one audio switcher;

at least one video processor; and

at least one video switcher;

wherein said at least one control computer processes and distributes data from said at least one performer input device and said at least one performer output device and said at least one participant input device and at least one participant output device;

said at least one audio processor processes said at least one participant microphone input, said at least one performer microphone input, and said audio data in accordance with said system data of said at least one control computer; said at least one audio switcher switches at least one participant microphone input, at least one performer microphone input, and said audio data in accordance with said system data of said at least one control computer; said video processor processes said live video image of the participant, said live video image of the performer, and said graphical images in accordance with said system data of said at least one control computer; and said video switcher switches said live video image of said at least one live performer with said graphical data in accordance with said system data of said at least one live performer

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computer; thereby selectively providing said graphical images, said audio data, and said live video image of said at least one live performer in said at least one virtual reality display, and selectively providing said at least one participant microphone input, said at least one performer microphone input, and said audio data to said at least one participant audio output device.

7. The system of claim 6, said immersive virtual reality environment further comprising:

a control station;

said control computer further comprising:

a registration computer;

said system data further comprising:

participant information;

wherein said at least one participant registers participant information into said registration computer for interactive use in said immersive virtual reality environment.

8. A system which interacts with participants and performers, said system comprising: an immersive virtual reality environment, said immersive virtual reality

at least one processing device;

system data;

environment further comprising:

output data; and

a network;

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at least one performer input device in electronic communication with said immersive virtual reality environment;

at least one participant input device in electronic communication with said immersive virtual reality environment;

at least one performer output device in electronic communication with said immersive virtual reality environment;

at least one participant output device in electronic communication with said immersive virtual reality environment;

wherein at least one live performer interacts with at least one participant and said immersive virtual reality environment, and said immersive virtual reality environment includes a live or prerecorded video image of the performer and audio communication between said at least one live performer and said at least one participant, or between said at least one participant and said at least one live performer, or both;

wherein said at least one participant interacts with said at least one live performer and said immersive virtual reality environment, thereby resulting in an experience which is in part controlled by said at least one participant and said at least one participant input device;

said network connecting said processing device, said at least one performer input device and said at least one performer output device, and said at least one participant input device and said at least one participant output device in electronic communication, thereby transmitting said system data and said output data to said at least one live performer and said at least one participant, and

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said network connecting said immersive virtual reality environment and said at least one participant input device and said at least one participant output device across the Internet.

- 9. A method of providing interactive communications between participants and performers comprising the steps of:
  - (a) providing an immersive virtual reality environment
- (b) providing at least one performer input device in electronic communicationwith said immersive virtual reality environment;
- (c) providing at least one participant input device in electronic communication with said immersive virtual reality environment;
- (d) providing at least one performer output device in electronic communicationwith said immersive virtual reality environment;
- (e) providing at least one participant output device in electronic communication with said immersive virtual reality environment;
- one participant and said immersive virtual reality environment, by including with said virtual reality environment a live or prerecorded video image of said at least one live performer and audio communication between said at least one live performer and said at least one participant, or between said at least one participant and said at least one live performer, or both;
- (g) having at least one participant interact with at least one such live performer and said immersive virtual reality environment, thereby resulting in an experience which



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is in part controlled by said at least one participant and said at least one participant input device.

### Reason for Allowance

2. The following is an examiner's statement of reasons for allowance: Claims 1-9 are allowable over the prior art of record in that they clearly set forth the use of a live performer with audio communication between the live performer(s) and participant(s).

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John M Hotaling II whose telephone number is 703 305 0780. The examiner can normally be reached on Mon-Thurs 7:30-6.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Valencia Martin-Wallace can be reached on (703) 308-4119. The fax phone numbers for the organization where this application or proceeding is assigned are 703 746 3236 for regular communications and 703 308-7769 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-

7777.

John M Hotating I January 22, 2002 JESSICA HARRISON PRIMARY EXAMINER

# **Attachment for PTO-948 (Rev. 03/01, or earlier)** 6/18/01

The below text replaces the pre-printed text under the heading, "Information on How to Effect Drawing Changes," on the back of the PTO-948 (Rev. 03/01, or earlier) form.

#### INFORMATION ON HOW TO EFFECT DRAWING CHANGES

# 1. Correction of Informalities -- 37 CFR 1.85

New corrected drawings must be filed with the changes incorporated therein. Identifying indicia, if provided, should include the title of the invention, inventor's name, and application number, or docket number (if any) if an application number has not been assigned to the application. If this information is provided, it must be placed on the front of each sheet and centered within the top margin. If corrected drawings are required in a Notice of Allowability (PTOL-37), the new drawings MUST be filed within the THREE MONTH shortened statutory period set for reply in the Notice of Allowability. Extensions of time may NOT be obtained under the provisions of 37 CFR 1 136(a) or (b) for filing the corrected drawings after the mailing of a Notice of Allowability. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

# 2. Corrections other than Informalities Noted by Draftsperson on form PTO-948.

All changes to the drawings, other than informalities noted by the Draftsperson. MUST be made in the same manner as above except that, normally, a highlighted (preferably red ink) sketch of the changes to be incorporated into the new drawings MUST be approved by the examiner before the application will be allowed. No changes will be permitted to be made, other than correction of informalities, unless the examiner has approved the proposed changes

## **Timing of Corrections**

Applicant is required to submit the drawing corrections within the time period set in the attached Office communication. See 37 CFR 1.85(a).

Failure to take corrective action within the set period will result in **ABANDONMENT** of the application.